

In re the Application of:

Date Allowed: August 22, 2007

SAITOH, Shuji, et al.

Group Art Unit: 1645

Serial Number: 09/147,052

Examiner: GANGLE, Brian J.

Filed: **April 5, 1999** 

P.T.O. Confirmation No.: 1182

FOR: NOVEL FUSION PROTEIN, GENE THEREOF, RECOMBINANT VECTOR BEARING THE GENE AND RECOMBINANT VIRUS AS WELL AS USE

**THEREOF** 

### **REQUEST FOR RETURN OF FORM PTO-1449**

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

August 28, 2007

Sir:

An Information Disclosure Statement was filed on **September 25, 1998**. A copy of this Information Disclosure Statement is attached.

The PTO-1449 from this Information Disclosure Statement was returned with the Office action dated February 29, 2000, but two of the references, JP7-503842 and JP5-504253, were lined through to indicate they were not considered. No explanation was given as to why these two references were not considered.

Applicant respectfully submits that the Information Disclosure Statement was proper and these references should have been considered.

It is respectfully requested that the Examiner return a copy of the Form PTO-1449 which accompanies the Information Disclosure Statement, marked to indicate that the references listed therein have been considered by the Office. In this regard, Applicant notes that the concise explanation of relevance of the two references was provided by the PCT search report accompanying the original filing of the IDS.

The Examiner is requested to telephone the undersigned should he have any questions concerning this Request.

Respectfully submitted,

KRATZ, QUINTOS & HANSON, LLP

Daniel A. Geselowitz, Ph.D.

Agent for Applicant Reg. No. 42,573

DAG/x1

Atty. Docket No. 981167

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23850

PATENT TRADEMARK OFFICE

Enclosures:

Copy of the Information Disclosure Statement filed September 25, 1998

Initialed PTO-1449 returned with the Office action dated February 29, 2000

PTO-1449



| INFORMATION       |
|-------------------|
| <b>DISCLOSURE</b> |
| <b>CITATION</b>   |
| PTO-1449          |

| Ally, Ducket Ind. 301107   Schal Ind. 03/14/3 | Atty. Docket No. | 981167 | Serial No. | 09/147,05 | 2 |
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Applicant(s): SAITOH, Shuji, et al.

Filing Date: April 5, 1999 Group Art Unit: 1645

## **U.S. PATENT DOCUMENTS**

| Examiner<br>Initial |    | Document No. | Name | D | Date | Class | Subclass | Filing Date<br>(If appropriate) |
|---------------------|----|--------------|------|---|------|-------|----------|---------------------------------|
|                     | AA |              |      |   |      |       |          |                                 |
|                     | AB |              |      |   |      |       |          |                                 |
|                     | AC |              |      |   |      |       |          |                                 |
|                     | AD |              |      |   |      |       |          |                                 |
| <u> </u>            | AE | ę.           |      | _ |      |       |          |                                 |

## FOREIGN PATENT DOCUMENTS

|        | Document No. | Date     | Country | Translation<br>(Yes or No) |
|--------|--------------|----------|---------|----------------------------|
| <br>AF | 7-503842     | 04/27/95 | Japan   | No                         |
| <br>AG | 5-504253     | 07/08/93 | Japan   | No                         |
| <br>AH |              |          |         |                            |
| <br>AI |              |          |         |                            |
| <br>AJ | A .          |          |         |                            |

## **OTHER DOCUMENTS**

|          | AK |                 |  |
|----------|----|-----------------|--|
|          | AL |                 |  |
| Examiner |    | Date Considered |  |

**PATENT** 

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Shuji SAITO et al.

Serial Number: Not Yet Assigned

Filed: September 25, 1998

For: NOVEL FUSION PROTEIN, GENE THEREOF, RECOMBINANT VECTOR

BEARING THE GENE AND RECOMBINANT VIRUS AS WELL AS USE

**THEREOF** 

### INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents Washington, D.C. 20231

September 25, 1998

Sir:

In compliance with 37 CFR 1.56, Applicants call to the attention of the Patent and Trademark Office the references listed on the attached PTO-1449. References AC, AD, AE, AF and AG are cited in the enclosed International Search Report. References AC, AH and AI are cited in the specification.

A copy of each of the references is enclosed herewith.

In the event there are any fees due in connection with the filing of this paper, please charge Deposit Account No. <u>01-2340</u>.

Respectfully submitted,
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Enclosures: PTO-1449; References (7) and International Search Report.

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|-------------------------|--|-----------------------|
| INFORMATION             | Atty. Docket No. 981167  | Serial No. New Appln. |
| DISCLOSURE<br>STATEMENT | Applicant(s): Shuji SAITO et al.   |                       |
| PTO-1449                | Filing Date: September 25, 1998  | Group Art Unit:       |

## **U.S. PATENT DOCUMENTS**

| Examiner<br>Initial |    | Document No. | Name | Date | Class | Subclass | Filing Date<br>(If<br>appropriate) |
|---------------------|----|--------------|------|------|-------|----------|------------------------------------|
|                     | AA |              |      |      |       |          |                                    |
|                     | AB |              |      |      | <br>  |          |                                    |

### FOREIGN PATENT DOCUMENTS

|        | Document No. | Date     | Country | Translation (Yes or No)                                     |
|--------|--------------|----------|---------|---|
| AC     | WO 94/23019  | 10/13/94 | PCT     | Abstract. See PCT Search Rpt. & pg. 2 of the specification. |
| <br>AD | 7-503842     | 04/27/95 | Japan   | No. See PCT Search Rpt.                                     |
| <br>AE | 5-504253     | 07/08/93 | Japan   | No. See PCT Search Rpt.                                     |

## OTHER DOCUMENTS

|          | AG | Yoshida et al.; Virology 220, pp.484-493, 1994. See PCT Search Report.  Nazerian et al.; Journal of Virology 66, Vol. 66, No. 3, pp. 1409-1413, March 1992. See PCT Search Report. |
|----------|----|--|
|          | AH | DeLuca et al., Virology 122, pp. 411-423, 1982. See pg. 4 of the specification.  |
|          | AI | Blacklaws et al., Virology 177, pp. 723-736, 1990. See page 4 of the specification.  |
| Examiner |    | Date Considered  |

| INFORMATION             | Atty. Docket No. 981167          | Serial No. New Appln. |
|-------------------------|----------------------------------|-----------------------|
| DISCLOSURE<br>STATEMENT | Applicant(s): Shuji SAITO et al. |                       |
| PTO-1449                | Filing Date: September 25, 1998  | Group Art Unit:       |

## U.S. PATENT DOCUMENTS

| Examiner<br>Initial |          | Document No. | Name | Date | Class | Subclass | Filing Date<br>(If<br>appropriate) |
|---------------------|----------|--------------|------|------|-------|----------|------------------------------------|
|                     | AA<br>AB |              |      |      | /     |          |                                    |

# FOREIGN PATENT DOCUMENTS

|    |     | Document No. | Date     | Country | Translation (Yes or No)                                     |
|----|-----|--------------|----------|---------|---|
| A. | AC  | WO 94/23019  | 10/13/94 | PCT     | Abstract. See PCT Search Rpt. & pg. 2 of the specification. |
|    | AĐ- | 7-503842     | 04/27/95 | Japan   | No. See PCT Search Rpt.                                     |
|    | AE_ | 5-504253     | 07/08/93 | - Japan | No. See PCT Search Rpt.                                     |

### OTHER DOCUMENTS

| OTHER DOCUMENTS               |    |  |
|-------------------------------|----|--|
| gs.                           | AF | Yoshida et al.; Virology 220, pp.484-493, 1994. See PCT Search Report.                                     |
| - Al                          | AG | Nazerian et al.; Journal of Virology 66, Vol. 66, No. 3, pp. 1409-1413, March 1992. See PCT Search Report. |
| _#                            | АН | DeLuca et al., Virology 122, pp. 411-423, 1982. See pg. 4 of the specification.                            |
| <b>D</b>                      | AI | Blacklaws et al., Virology 177, pp. 723-736, 1990. See page 4 of the specification.                        |
| Examiner Date Considered 2/00 |    |  |